

Southend-on-Sea City Council's Code of Conduct under the Provision of the Education (Penalty Notices) Regulation 2004

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This applies for any penalty notices issued after the 31st August 2024

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Guidance To Head Teachers and Attendance Leads

In February 2004, [section 23\(1\) of the Anti-Social Behaviour Act 2003](#) added sections [444A](#) and [444B](#) to the Education Act 1996. These sections enable Penalty Notices to be used as an alternative to prosecution and provide parents with the opportunity to discharge liability for conviction of an offence under section 444 by paying a financial penalty to the Local Authority.

The Access and Inclusion School Attendance Team fully complies with Article 6 and 8 of The Human Rights Act 1998 and ensure the application of penalty notices throughout the city remain consistent, fair and transparent.

The key areas of the code of conduct are:

- Penalty Notices for unauthorised absence can only be issued for an offence that the local authority is willing and able to prosecute in Magistrates Court for non-school attendance and where the action is proportionate to the level of absence.

Section 103 of the Education and Inspections Act 2006 places a duty on parents in relation to an excluded pupil. A parent must ensure their child is not present in a public place during school hours, without reasonable justification, during the first five days of each and every suspension or permanent exclusion. Section 105 allows for a Penalty Notice to be given to a parent guilty of an offence under Section 103 of the Act.

- Penalty Notices can be issued to all children in the same family unit exhibiting patterns of unauthorised absence.

All schools wanting to issue Penalty Notices under the Southend-on-Sea City Council's Code of Conduct as a deterrent to taking leave during term time, must evidence that all literature provided to parents, such as: school prospectus, attendance and behaviour policies, home school agreements, newsletters, and website information, includes the warning that parents may be issued with a Penalty Notice if leave is taken without permission. Schools must also ensure that once a parent has submitted a request for leave during term time which has not been agreed due to no evidence of exceptional circumstances, they must then provide parents with a warning letter. An example warning letter is attached with the updated Southend-on-Sea City Council's code of conduct.

Although the responsibility for authorising requests for leave of absence lies with the school, it is the Access and Inclusion School Attendance Team who will make the decision on whether to issue a Penalty Notice based on the evidence supplied by the school.

The code of conduct and appendices are attached within this pack and can also be found on the Southend Learning Network. Further questions or guidance around the code of conduct can be given from the Access and Inclusion School Attendance Team.

Southend-on-Sea City Council's Code of Conduct Under The Provision Of The Education (Penalty Notices) Regulation 2004

This is an amendment to the 2019 Southend-on-Sea City Council's code of conduct and relates to penalty notices for unauthorised absences, suspensions and exclusions.

Code of Conduct under the Provision of [The Education \(Penalty Notices\) Regulation 2004](#) and subsequent [The Education \(Amendment\) Regulations 2013](#)

Rationale

Regular and punctual attendance of pupils at school is a legal requirement (section 7 of the Education Act 1996). Parents are responsible for ensuring that any child of compulsory school age receives full-time education that is suitable to the child's age, ability, and aptitude and to any special educational needs the child may have.

A child is of compulsory school age at the beginning of the 1st term after their 5th birthday, so:

- children who turn 5 between 1st January and 31st March will be of compulsory school age at the beginning of the school term after 31st March
- children who turn 5 between 1st April and 31st August will be of compulsory school age at the beginning of the school term after 31st August
- children who turn 5 between 1st September and 31st December will be of compulsory school age at the beginning of the school term after 31st December

A child remains of compulsory school age until the last Friday in June in the school year that they turn 16 (usually year 11).

Parents can be issued a Penalty Notice by Southend-on-Sea City Council for their child's non-attendance. Each parent can receive a fine for each of their children, of £80, which rises to £160 each if you do not pay within 21 days. If you do not pay the fine after 28 days, you may be prosecuted for your child's absence from school. If a second Penalty Notice is issued within a three-year rolling period, each parent can receive a fine for each child at the higher rate of £160 with no option for the second offence to be discharged to the lower rate of £80. A national limit of two Penalty Notices can be issued to parents for the same child withing a rolling 3-year period. If a third offence is committed, then parents could be prosecuted for their child's absence with no option of paying a fine. Failing to pay the fine or having a third offence for unauthorised leave in term time, will likely result in prosecution and being summoned to appear at the Magistrates Court. The fines available to the courts if parents are found guilty of the section 444 (1) offence include a level 3 fine of up to £1,000 per person.

The Access and Inclusion School Attendance Team will ensure a consistent, fair and transparent application of penalty notices throughout the city, complying with the Human Rights Act 1998. This Code of Conduct will govern the issuing of penalty notices across the city.

Guidance and legislation:

Persons authorised to issue Penalty Notices in the City of Southend-on-Sea are officers from the Access and Inclusion Service, namely School Attendance and Inclusion Teams. In doing so, they will have full regard to the following legislation and any subsequent modifications:

- The Education (Penalty Notices) (England) Regulations 2007
- The Education (Penalty Notices) (England) (Amendment) Regulations 2013 No. 757,
- The Race Relations (Statutory Duties) Order 2001
- Disability Discrimination Act 1995
- Data Protection Act 1998
- Children Act 1989
- Crime and Disorder Act 1998
- Human Rights Act 1998
- Special Needs Code of Practice 2003
- Anti-social Behaviour Act 2003
- Ensuring School Attendance: Guidance on the Legal Measures to Secure Regular School Attendance 2003
- Education Act 1996
- Coronavirus Act 2020
- And any guidance issued by the Secretary of State in relation to School Attendance, Absenteeism and Penalty Notices

On 6 April 2017, in the case of [Isle of Wight Council v Platt \[2017\] UKSC 28](#), the Supreme Court ruled that the word 'regularly' means 'in accordance with the rules prescribed by the school.'

Southend-on-Sea City Council does not use the word regular but specify below 90% attendance.

Section 576 education act 1996 - definition of a parent

For the purposes of this code, the term 'parent' is defined as:

- A biological parent of the child (even if they do not have Parental Responsibility and even if the child does not reside with that parent).
- any person who is not a parent but has Parental Responsibility for the child (for example through a
- Residence Order, Child Arrangements Order, Special Guardianship Order, Step-Parental Responsibility Order, Adoption Order or Care Order).
- Any person who, although not a natural parent, has care of a child or young person – having care of a child or young person means that a person with whom the child lives and who looks after the child, irrespective of what their relationship is with the child is considered to be a parent in education law.

Throughout this document, references to 'parent' mean each and every parent coming within the definition, whether acting jointly or separately, and should not be taken to mean that provisions only apply to 'parent' in the singular.

Procedure for the issue of penalty notices:

The responsibility for issuing penalty notices within the City of Southend-on-Sea lies solely with the Local Authority's Access and Inclusion Teams. This is to ensure the following measures are applied consistently:

- avoiding duplicate notices being issued
- a notice is not issued when legal proceedings for an offence under section 444 of the Act are being considered or have started by the local authority
- the penalty notice is appropriate
- the maximum number of penalty notices that may be issued to one parent in any three-year period have not been exceeded

Schools in accordance with the code of conduct, can issue warnings and make requests to the LA to issue a penalty notice. In Southend-on-Sea it has been agreed that Head Teachers and the Police will not issue penalty notices to parents.

The issue of Penalty Notices will be strictly administered by the Access and Inclusion Service to ensure independence of judicial intervention, proportionality and that the provisions of this code do not have a negative impact on the current forms of statutory intervention pursued by Southend-on-Sea City Council.

No Penalty Notice may be issued without prior written warning.

Key considerations

Penalty Notices for Unauthorised Absence/Irregular Attendance:

Whether, given the facts of the case the investigating officer believes that the issuing of a Penalty Notice will be effective in helping to ensure that the parent secures the regular attendance of the child at a place of education.

The parent is judged capable of securing their child's regular attendance at school but is not taking responsibility for doing so, for example failing to engage in voluntary or supportive measures.

That the notice has been issued only for an offence that the local authority is willing and able to prosecute, and the action is proportionate to the level of absence.

The Local Authority will always issue Penalty Notices by first class post.

The responsibility of the issuing of a Penalty Notice is within the statutory duties of the Access and Inclusion School Attendance Team. This is via a revenue collection which will ensure that the issue of Penalty Notices is closely monitored with the relevant financial penalty being imposed and collected.

In the case where the Penalty Notice has not been paid within 28 days of issue, the case may be escalated to prosecution for non-school attendance.

A Penalty Notice can be issued to each parent of each child exhibiting the relevant patterns of unauthorised absence. Within any 3-year period each parent can receive two separate Penalty Notices for each child that exhibits the relevant pattern of unauthorised

absence and, where appropriate, in respect of more than one child. Further formal sanctions for unauthorised absence within a three-year period should be referred for a Pupil Planning Meeting and consideration of legal proceedings.

Penalty Notices for Unauthorised Leave During Term Time:

The same considerations apply for unauthorised leave during term time as the above unauthorised absence/irregular attendance section.

Penalty Notices for Excluded Children Found in a Public Place:

The parent must have been notified in writing of their duty and provided with a written warning that a Penalty Notice could be issued where there are no measures in place to supervise their child and ensure that they are not in a public place during school hours.

Where a parent/carer fails in their duty to ensure a child is not in a public place, a penalty notice may be issued under S.105 Education and Inspections Act, unless the parent/carer can prove there was a reasonable justification.

If the pupil is identified in a public place and it is within the first five days of the suspension or exclusion and notified to the school, the school is to write to the parent/carer within ten school days and allow an opportunity to provide a justifiable reason. The supplied template letter in [Appendix G](#) should be used for this purpose.

The Local Authority officer will assess the facts and decide whether it is appropriate to apply for a penalty notice, based upon the information the school provides. The application for a penalty notice must be made within 21 school days of the sighting of the pupil.

The Local Authority will always issue Penalty Notices by first class post.

The responsibility of the issuing of a Penalty Notice is within the statutory duties of the Access and Inclusion School Attendance Team, via revenue collection mechanisms, and will ensure that the issue of Penalty Notices is closely monitored with the relevant financial penalty being imposed and collected.

In the case where the Penalty Notice has not been paid within 28 days of issue, the case may be escalated to prosecution under Section 103 (3) of the Education and Inspections Act 2006.

Penalty notices issued under this Code of Conduct in respect of suspended/excluded pupils, will be charged at £60 if paid within 21 days, doubling to £120 if paid between days 22 to 28.

A maximum of three Penalty Notices (1 per term) will be issued in any twelve-month period. A Penalty Notice will be issued to each parent of each excluded child found in a public place within the first 5 days of suspension or exclusion.

Circumstances when Penalty Notices might be issued

For penalty notices being requested/considered on the basis of 10 sessions of unauthorised absence (G, O and/or U coded - consecutive or otherwise) in a rolling period of 10 school weeks, the local authority must be in receipt of the following:

- Clear details of the “support first” approach which has been taken by schools/partners to identify the reasons for the pupil’s absence, any barriers impacting the pupil’s attendance and all support strategies which have been offered (whether engaged with by parent/s or not) to remove any such barriers. As a minimum, this should include meeting with pupils and parents at risk of persistent or severe absence to understand barriers to being in school and agreeing actions or interventions to address them, identified in an action plan or attendance contract. This may include referrals to services and organisations that can provide support. These actions should be regularly discussed and reviewed together with pupils and families.
- Copies of all letters/emails sent to the parent/s to advise of the concerns held in relation to their child’s absence levels.
- Copies of all letters/emails sent and/or details of all telephone calls which have been made to arrange meetings with the parent/s to establish the reasons for absence and identify what support may be needed to improve attendance patterns and prevent further unauthorised absence.
- A copy of any attendance contract/s which have been agreed with parent/s and whether these have been adhered to.
- A link to the school’s Attendance Policy which makes clear the escalation process which may be applied in cases of unresolved irregular school attendance, including the risk of legal action under this code of conduct/section 444 Education 1996.
- A copy of the Notice to Improve which has been issued
- Where a Notice to Improve has not been issued, schools will be required to share their reasons why and provide a copy of the letter to parent/s advising them that they are at risk of receiving a penalty notice, should further unauthorised absence be recorded for their child.

Schools will need to demonstrate how the above has been implemented prior to referral for a penalty notice.

Penalty Notices may be issued following an Attendance Support meeting or a Pupil Planning Meeting where there has been assessment of unacceptable levels of unauthorised absence of 10 school sessions or more within a 10-week period (term time). A Penalty Notice would be issued if there is no significant improvement to school attendance, after parent/s has received the Notice to Improve letter and where there is evidence that any plan identified at the Attendance Support Meeting or Pupil Planning Meeting had been implemented and no additional mitigating factors have been identified by the parent/s or services supporting the family.

Parents must have been issued with a Notice to Improve letter and given opportunities to inform the school of any factors impacting on their ability to ensure their child attends school regularly. Warning letters are valid for 6 school weeks.

Schools may hold their own Attendance Support meeting where school support, engagement and interventions with the pupil and parents has failed to improve attendance and where schools can evidence unauthorised absence of 10 school

sessions or more within a 10-week period (term time) prior to the Attendance Support meeting. They must issue a Formal Notice to Improve letter (Appendix D) on schools headed paper that reflects the agreed plan which states the sanctions that may be implemented. This warning will remain in place for 6 school weeks.

School can then refer to the Access and Inclusion School Attendance Team to issue the Penalty Notice if there is no significant improvement after the parent has received the Notice to Improve letter and there is evidence that any plan identified at the Attendance Support meeting had been implemented. Evidence of school support and interventions will be required in advance of issuing Penalty Notices and used in Magistrates Court as evidence should the fine not be paid.

In addressing the issue of parentally condoned absence, penalty notices may offer a prompt and potentially effective deterrent to unauthorised absence at a point, which may not be as easily accessed through existing enforcement provisions. Parent/carers will receive a warning letter prior to the issue of a Penalty Notice.

Unauthorised Leave During Term Time:

Amendments to the 2006 regulations remove reference to family holidays and extended leave as well as the statutory threshold of ten school days. The amendments make clear that head teachers may not grant any leave of absence during term time unless there are exceptional circumstances (*no definition is given within this legislation*). Head teachers should determine the number of sessions a child can be away from school if the leave is granted.

Schools must ensure that all literature provided to parents, such as Prospectus, Attendance and Behaviour Policies, Home School Agreements, Newsletters, and website information includes the warning that parents may be issued with a penalty notice if a holiday is taken without permission.

If the decision is made not to authorise, a letter to the parent confirming that a leave of absence has not been authorised must be sent by the head teacher and include a warning that a penalty notice may be issued.

If a holiday is then taken without authorisation, the Access and Inclusion School Attendance Team Leader will decide if the issuing of a penalty notice is appropriate.

In addition, a Penalty Notice may also be issued, where the parent did not submit a leave of absence request, however the school have reason to believe the absence was for the purpose of a holiday and should not be authorised as parent has not provided any additional evidence which the head teacher deems appropriate to support the absence. Schools must have communicated with the parents providing warning of this action prior to referring to the Access and Inclusion School Attendance Team.

The head teacher must have regard to the Department for Education's statutory guidance and departmental advice when considering each request for a holiday.

For penalty notices being requested/considered on the sole basis of 10 sessions of G code (i.e. 5 days, consecutive or otherwise, of a holiday taken during term time over a 10-rolling week period) the local authority, within 20 school days of the pupil having returned to school, must be in receipt of the following:

- A copy of the application made by parents relating to the period/s of absence that have been recorded using a G code.
- A copy of the written response sent by the school, advising that the absence would not be authorised and why the reason/s for absence were not deemed to be exceptional.
- A copy of the pupil's registration certificate, clearly showing that the national threshold has been met and that the appropriate coding has been used to allow for a penalty notice to be issued.
- Where no application for leave has been submitted by parent/s and the leave was taken without prior discussion/knowledge of the school (e.g. where a parent has called to report their child as too unwell to attend school and the pupil is later found to have been absent due to a holiday during term time), the local authority should be provided with the communications between the school and parent/s, clearly showing that parents have been made aware of the school's intention to issue a penalty notice as a result of the unauthorised absences that have been recorded.

A large proportion of penalty fines can go unpaid; officers before issuing such a notice shall assess the likelihood of securing a conviction if the notice is not paid.

As in other cases, the necessary warning letters will precede the issue of a notice.

Excluded children:

When a child is excluded from school, the parent will be responsible for ensuring that their child is not found in a public place during normal school hours on the first five days of each suspension or permanent exclusion. (Section 103 Education and Inspections Act)

The excluding school must have notified the parent informing them of their duty and warning that a penalty notice could be issued.

Where there is more than one person liable for the offence, a separate penalty notice may be issued to each person.

Where a pupil is present in a public place in the first five days of a suspension or exclusion, the Access and Inclusion Team would issue a Penalty Notice if the pupil were on roll at a Southend-on-Sea City school. Where the child is present in a public place and has been permanently excluded, it would be the authority where the child resides who would issue the penalty notice.

Administration of the Penalty Notice scheme:

Penalty Notices shall be issued in a prescribed manner and revenue from such notices shall be collected by the Local Authority via a payment collection provider allowing for various methods of payment. The officer issuing a penalty notice shall maintain accurate and up to date records.

Where pupils move between local authority areas, Southend City Council can be contacted on crossborder.southendpenaltynotice@southend.gov.uk to find out if penalty notices have been issued previously, so that appropriate escalation of fines may be applied in line with the national framework for penalty notices

A prosecution under [s 444\(1\) \(A\) Education Act 1996](#) and [Section 103 \(3\) of the Education and Inspections Act 2006](#) will be undertaken when sufficient evidence exists to warrant statutory intervention, the failure to pay a Penalty Notice will be used in evidence for prosecution of non-school attendance. There is not an opportunity to pay fines in instalments and there is no right of appeal to the penalty notice.

For any person to be prosecuted the elements of the above acts must be proven, the service cannot prosecute just because a notice has not been paid. The Rules of Evidence and Criminal Procedural Rules apply, any prosecution must meet the “evidential test” and the “public interest test” and must be proportionate otherwise a prosecution may not proceed.

Withdrawal of Penalty Notice:

There are only five exceptions when a notice may be withdrawn.

1. When it is issued to the wrong person
2. When issued outside the terms of the code of conduct
3. When an offence has not been committed
4. If a parent can prove it was delivered to the wrong address
5. Exceptional circumstances proven

Appendix A – Unauthorised Request for Leave – School letter example

Dear

Thank you for your letter regarding your request to take xx out of school for the purposes from xx to xx.

I have considered your application and regret to inform you that your application for leave has not been granted.

The Department for Education advises that leave of absence can only be granted in exceptional circumstances. I am unable to authorise this holiday as the reasons stated on your application form are not deemed as exceptional.

If you decide to take your child/children out of school for this period, you may be subject to a Penalty Notice issued by the Local Authority on your return. Under the Anti-Social Behaviour Act 2003, Section 23(1), the issuing of a Penalty Notice incurs a fine of £80.00 per child, per parent, if paid within 21 days rising to £160.00 per child, per adult if paid after 21 days but within 28 days. (NB. In the case where the Penalty Notice has not been paid within 28 days of issue, the case may be escalated to prosecution in Magistrates Court for non-school attendance). If this is the second unauthorised leave taken within a three-year period, there will be no option of the lower amount and the Penalty Notice issued will incur a fine of £180. A national limit of two Penalty Notices can be issued to parents for the same child withing a rolling 3-year period. If a third offence is committed, then parents could be prosecuted for their child's absence with no option of paying a fine.

I have included a leaflet which has been issued by the Local Authority in relation to Penalty Notices for poor school attendance and unauthorised leave during term time.

Yours sincerely,

Head teacher Xxx School.

Appendix B – Notice to Improve

School attendance is hugely important. For your child to gain the full benefit from their education, for their learning, wellbeing, and wider development, they need to attend on time, every day possible.

If a child of compulsory school age who is a registered pupil at a school fails to attend regularly at the school or fails to attend regularly the child's parent may be guilty of an offence under s.444 Education Act 1996.

You, «**FORENAME**» «**SURNAME**» are a parent/carer of «**Students_Name**», (called in this notice "the pupil") who is a registered pupil at «**School_Name**».

The school have offered support to you and your family to try and help improve «**Students_Name**»'s attendance, including:

1. **Telephone calls.** The school contacted you every day your child was absent to understand why your child was absent and to offer their support with any issues your child may be having.
2. **An Attendance Overview Letter.** The school wrote to you, letting you know about «**Students_Name**»'s attendance, the impact of their continued absence, and inviting you to contact the school to discuss the situation further.
3. **An Attendance Support Meeting Invite.** The school invited you to a meeting to discuss your child's unauthorised absences and to offer support to ensure that their attendance improved. You were notified of the consequences should your child continue to have unauthorised absence and you did not effectively engage with the support offered.

Unfortunately, despite the support that was offered to your family, attendance remains a cause for concern. Between «**START_DATE**» and «**END_DATE**» the pupil failed to attend regularly at «**School_Name**», which resulted in 10 sessions (half days) or more of unauthorised absences being recorded. Please see the attached registration certificate for details.

You now have 30 school days (6 weeks) in which to improve your child's attendance. During this time your child must show significant improvements in attendance and avoid having any unauthorised absences from school during this period. Should we not see sufficient improvement and further unauthorised absences take place during this period, a Penalty Notice may be issued. A penalty notice is charged at £160 if paid within 28 days. There is usually the opportunity to pay a reduced amount of £80 if paid within 21 days. Please see fact sheet included with this warning, which explains when and how a penalty is issued.

If you wish to discuss this notice, or discuss what further support is available, please contact our team as soon as possible:

Contact Name

Contact details

Yours sincerely

(Name)

(Job Title)

Fact Sheet to be included with Notice to improve

Helping parents to understand the changes to fines for term time holidays

With the introduction of the new National Framework for Penalty Notices, the following changes will come into force for Penalty Notice Fines issued for unauthorised holidays recorded by schools **after 19th August 2024**.

Who may be fined?

Penalty Notice Fines are issued to each parent who allows their child to be absent from school.

For example: 3 siblings absent for term time leave, would result in each parent who allowed the holiday receiving 3 separate fines.

National Threshold

There will be a single consistent national threshold for when a penalty notice must be considered by all schools in England of 10 sessions (usually equivalent to 5 school days) of unauthorised absence within a rolling 10 school week period.

For example: a 5 day holiday would meet the national threshold.

The 10-school week period can span different.

First Offence

The first time a Penalty Notice is issued for an unauthorised term time holiday the fine amount will be:

£80 per parent, per child if paid within 21 days.

Increasing to £160 if paid between days 22-28.

Second Offence (within 3 years)

The Second time a Penalty Notice is issued for unauthorised absence the amount will be:

£160 per parent (who allowed the holiday), per child, payable within 28 days.

Third Offence and Any Further Offences (within 3 years)

The third time an offence is committed a Penalty Notice will not be issued and local authorities will need to consider other available measures to address the absence concerns. This may mean that cases are presented before a Magistrate's Court. Prosecution can result in criminal records and fines of up to £2,500.

Cases found guilty in the Magistrates' Court can show on the parent's future DBS certificate due to 'failure to safeguard a child's education'.

Appendix C – Request to Issue a Penalty Notice for Unauthorised Absence/Irregular Attendance

Name of child	
Date of birth	
Name of parent/s to be included in the Meeting	
Date of birth of parent/s	
Address of child	
Address of parent if different	
Other agencies working with the Child/Family I.e: Social Care, Supporting Families, CAMHS What is their plan to support attendance?	

Prior to Attendance Support meeting	To be completed by school	Copy attached (✓)
Number of Letters sent to parents		
Number of Home visits conducted		
Number of phone calls made		

To be completed by school and sent to AttendanceLegalInterventions@southend.gov.uk

Consideration for request to issue a Penalty Notice

- have an attendance rate of 90% or less within the previous 10 school weeks from the Notice to Improve issue date have unauthorised absences of 10 sessions or more.
- is not 'looked after' by the local authority
- is not open to Social Care or CAMHS
- school has evidence of pre-referral work undertaken by the school.
- where the parent(s) carer(s) attended the attendance support meeting, a parent contract was agreed.
- the parent or pupil has not identified any valid reasons or barriers why they cannot attend school on a regular and punctual basis.

The school should have systems in place as part of their attendance data analysis to swiftly identify pupils who meet the criteria for a penalty notice to be issued. Where parents identify concerns or barriers to attending school, such as bullying, SEND, parenting or other additional needs requiring support, the school should always work with the pupil, parent, and carers to provide support, advice, and signposting to services to remove barriers and improve attendance, before considering requesting a penalty notice to be issued.

Initial Attendance Support Meeting Attendance Support Meeting. Please complete a attendance contract and agree actions to support improved attendance and punctuality. Where parents fail to attend the meeting, a letter should be sent home, outlining expectations and attendance target. A review period will be given for improvements to be made with the review meeting date set at the meeting	Date of meeting			
	Did parent(s) attend Y / N			
	Current Attendance % Current Unauthorised %			
	What are the identified barriers to attending school and what has been put in place to remove these barriers			
	Attendance contract actions			
Attendance Support Review Meeting If no improvements & no mitigation, a Notice to Improve should be issued if threshold of 10 unauthorised sessions in the previous 10 weeks has been met.	Date of meeting			
	Did parent(s) attend? Y / N			
	Attendance during review period %	Possible sessions	Sessions attended	
	Number of unauthorised sessions during Review period			
	Attendance Actions	Met	Partially Met	Not Met
	Date of Notice to Improve			
	Any new reasons for absence (please list)			
School Review	Date of review			
	Has attendance improved following			

<p>Satisfactory improvements - no formal action. School continues to review and support the family.</p> <p>Insufficient improvements - referred to LA</p> <p>Action - Penalty Notice / Prosecution to be agreed by Team Leader</p>	the agreed actions? Yes/No	
	Overall Attendance %	
	Overall Unauthorised %	
	Date referral completed by school	
	Date referral sent to the Attendance legal intervention email box	

List below all interventions to support family overcome the barriers to attending school during the preceding 8 weeks.

	Copy attached (✓)	Outcome from communications
Number of Letters sent to parents		
Number of Home visits conducted		
Number of phone calls made		
Other interventions (please detail)		

I confirm the above information and attach documents to be an accurate account of events and parent (s) named on page one meets the criteria for legal intervention by the Access and Inclusion School Attendance Team

Signed by (Headteacher)..... School

Date

Appendix D – Request to issue a Penalty Notice for leave of absence taken in term time

All the below sections must be completed and returned to AttendanceLegalInterventions@southend.gov.uk for the legal interventions officer to process the request. (The request will be returned if not fully completed)

Form must be completed and returned no later than 4 weeks following the child's return to school. If submitted later than 4 weeks, the referral may be returned to you and Penalty Notice may not be issued.

	To be completed by head teacher	Tick when checked (office)
Name of child		
Date of birth		
Name of parent/s to be named on the Notice		
Date of birth		
Address		
Address of parent if different		
Dates of leave (10 sessions (5 days) or more of unauthorised absence within a ten-week period due to leave taken during an academic year)		
Date child returned to school		
Copy of leave request from parent (attached)		
Copy of warning letter issued by head teacher (attached)		
Confirmation that all school policies are up to date with the new guidance in the Southend code of conduct and this has been shared with parents		

I confirm that to the best of my knowledge the above information is accurate and that I wish for the Access and Inclusion School Attendance Team to issue a Penalty Notice to the parent/s of the above child in accordance with the guidelines issued in the Southend code of conduct.

Head Teacher Signature	
School	
Date: No later than 4 weeks after child returns from their holiday	
Print Name	

Return to AttendanceLegalInterventions@southend.gov.uk

Appendix E – Guidance to Head teachers on leave of absence

Example letter from Head teacher where no application received

Dear

Name:

DOB:

School:

I have noted that had day's absence for the period..... I have reason to believe that this absence was due to a family holiday because. I have checked my records and can find no holiday application form from you regarding this absence.

The current law does not give any entitlement to parents to take their child out of school during term time. The Education (Pupil Registration) (England) (Amendment) Regulations 2013 prohibits Head teachers granting leave of absence to a pupil except where an application has been made in advance and the Head teacher considers that there are exceptional circumstances relating to the application.

If leave of absence is not authorised, and is taken without permission, the Access and Inclusion School Attendance Team may issue a Penalty Notice to each parent of £80 for each child to be paid within 21 days. If the penalty is not paid within the time scale the penalty will increase to £180. If the higher penalty is not paid within 28 days, the Access and Inclusion School Attendance Team will then institute legal proceedings against you in the magistrate's court under Section 444 of the Education Act 1996 for failing to ensure your child attends school regularly. If this is the second offence within a three-year period, there will be no option of the lower amount and the Penalty Notice issued will incur a fine of £180. A national limit of two Penalty Notices can be issued to parents for the same child withing a rolling 3-year period. If a third offence is committed, then parents could be prosecuted for their child's absence with no option of paying a fine As I have not been given a reason for this absence, I have taken the decision to record it as unauthorised leave taken for a term time holiday.

Please contact me by..... to discuss if there are any special circumstances that you feel should be taken into consideration before I apply to the Local Authority for the issue of Penalty Notices.

Yours sincerely,

Head teacher

Appendix F – Example letters for Head teachers regarding suspensions and exclusions

Example letter from Head teacher where a pupil has been cited in a public place in the first five days of suspension or exclusion

Dear

Name:

DOB:

School:

Further to my letter dated [insert date] regarding the period of suspension/exclusion for your son/daughter, you were advised that it is an offence for a parent/carer to allow their child to be present in a public place during school hours for the first five days of suspension/exclusion, (Section 103 of the Education and Inspection Act 2006). Your son/daughter was seen

At [place]

On [date]

At [time]

Seen by [add details]

This was during the first five days of [name]'s suspension/exclusion from school. Before I request a Penalty, Notice be issued to you, I invite you to provide me with the reason to support why your son/daughter was seen in a public place. Please either contact the school to speak with me directly or providing your reasons in writing within the next seven school days.

If I do not hear from you within that time, or if I do not consider your reasons to be a justified, a penalty notice will be sought under S.105 Education and Inspections Act 2006. A Penalty Notice imposes a fine of £60 if paid within 21 days, rising to £120 if paid between 22 days and 28 days. If you do not pay the Penalty Notice and the notice is not withdrawn, you will be prosecuted under S.103 Education and Inspections Act 2006 and could receive a fine of up to £1,000.

Yours sincerely,

Head teacher

NB: All information regarding suspension and exclusion from school, can be found on the Southend-on-Sea City Council website or the Southend Learning Network.

Frequently asked questions – Taking Leave in Term Time:

Am I entitled to take my child out of school for a family holiday.

No.

Parents have a legal duty to ensure that their children attend school or the alternative provision on a regular basis.

The Education Act 1996 makes it a criminal offence for a parent to “Fail to secure their child’s regular attendance at the school”

The amendments to the 2006 Regulations remove any reference to family holidays, extended leave and the statutory threshold of ten school days.

The amendments make clear that head teachers may not grant any leave of absence (holiday) during term time unless there are exceptional circumstances.

The amendments give parents no entitlement to take their child out of school for a holiday in term time.

The head teacher and Governing Body will determine what the exceptional circumstances are.

If we decide to take a holiday during term time, what should we do?

The parent/carer with whom the child resides must apply in writing to the school.

The letter/application must explain the exceptional circumstance surrounding the request for the leave of absence.

What will the school do then?

The Head teacher will determine whether the exceptional circumstance ruling applies, if not the application will be declined.

Only the head teacher has the power to approve leave of absence applications. When making the decision the protocols and criteria laid down in the school’s attendance policy must be followed.

Each academic year, schools inform parents/carers via a letter, newsletter or some other communication: that they may receive a Penalty Notice if their child has unauthorised absences in term time.

You will receive a written response from the Head teacher (or the Deputy) letting you know if your application has been approved. If the holiday goes ahead after the application has been declined the absence will be recorded as unauthorised.